

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

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## PCT

### NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of Mailing  
(day/month/year) **11 SEP 2003**

Applicant's or agent's file reference

IGTECH.0056P

#### IMPORTANT NOTIFICATION

International application No.

PCT/US02/18861

International filing date (day/month/year)

11 June 2002 (11.06.2002)

Priority date (day/month/year)

15 June 2001 (15.06.2001)

Applicant

IGT

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/US

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## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference IGTECH.0056P	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US02/18861	International filing date (day/month/year) 11 June 2002 (11.06.2002)	Priority date (day/month/year) 15 June 2001 (15.06.2001)
International Patent Classification (IPC) or national classification and IPC IPC(7): A63F 9/22; H04Q 7/24; A63F 9/24 and US Cl.: 463/40; 455/412; 463/42		
Applicant IGT		
<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>3</u> sheets, including this cover sheet.</p> <p><input checked="" type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of <u>3</u> sheets.</p>		
<p>3. This report contains indications relating to the following items:</p> <p>I <input checked="" type="checkbox"/> Basis of the report</p> <p>II <input type="checkbox"/> Priority</p> <p>III <input type="checkbox"/> Non-establishment of report with regard to novelty, inventive step and industrial applicability</p> <p>IV <input type="checkbox"/> Lack of unity of invention</p> <p>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</p> <p>VI <input type="checkbox"/> Certain documents cited</p> <p>VII <input type="checkbox"/> Certain defects in the international application</p> <p>VIII <input type="checkbox"/> Certain observations on the international application</p>		
Date of submission of the demand 06 January 2003 (06.01.2003)	Date of completion of this report 01 September 2003 (01.09.2003)	
Name and mailing address of the IPEA/US Mail Stop PCT, Attn: IPEA/US Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Facsimile No. (703)305-3230	Authorized officer WILLIAM TROST Telephone No. 703-305-3900	

Form PCT/IPEA/409 (cover sheet)(July 1998)

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US02/18861

**I. Basis of the report**

## 1. With regard to the elements of the international application:\*

- ☒ the international application as originally filed.
- ☒ the description:  
pages 1-15 as originally filed  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_.
- ☒ the claims:  
pages NONE, as originally filed  
pages 16-18, as amended (together with any statement) under Article 19  
pages NONE, filed with the demand  
pages 16-18, filed with the letter of 09 June 2003 (09.06.2003)
- ☒ the drawings:  
pages 1-2, as originally filed  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_.
- ☐ the sequence listing part of the description:  
pages NONE, as originally filed  
pages NONE, filed with the demand  
pages NONE, filed with the letter of \_\_\_\_\_.

## 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

## 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in printed form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages NONE
- ☐ the claims, Nos. NONE
- ☐ the drawings, sheets/fig NONE

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.  
PCT/US02/18861**V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. STATEMENT**

Novelty (N)	Claims <u>1-13</u>	YES
	Claims <u>NONE</u>	NO
Inventive Step (IS)	Claims <u>1-13</u>	YES
	Claims <u>NONE</u>	NO
Industrial Applicability (IA)	Claims <u>1-13</u>	YES
	Claims <u>NONE</u>	NO

**2. CITATIONS AND EXPLANATIONS**

Claims 1-13 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest transmitting game code from said game server to said personal gaming device, storing said game code at said memory of said personal gaming device, executing said game code with said processor of said personal gaming device to present said wagering game displaying said wagering game on said display of said personal gaming device, determining the outcome of said wagering game and awarding a winning to said player if said outcome is a winning outcome.

----- NEW CITATIONS -----  
NONE

1/24/03

1. A method of presenting wagering games in a casino environment comprising:
  - providing at least one personal gaming device, said personal gaming device comprising a hand-held gaming device including a display adapted to display game information, a processor configured to execute game code, a memory adapted to store game code to be executed by said processor, and a wireless communication interface for receiving information and transmitting information;
  - providing a game server configured to transmit game code to said at least one personal gaming device;
  - providing a financial server configured to confirm financial transaction data;
  - accepting financial account information at said personal gaming device;
  - transmitting said financial account information to said financial server;
  - confirming financial transaction data at said financial server;
  - transmitting game code from said game server to said personal gaming device;
  - storing said game code at said memory of said personal gaming device;
  - executing said game code with said processor of said personal gaming device to present said wagering game;
  - displaying said wagering game on said display of said personal gaming device;
  - determining the outcome of said wagering game; and
  - awarding a winning to said player if said outcome is a winning outcome.
2. The method in accordance with Claim 1 including the steps of providing said personal gaming device with a card reader and wherein said step of accepting financial account information comprises reading information from a player card with said card reader.
3. The method in accordance with Claim 2 wherein said player card is a credit card.
4. The method in accordance with Claim 2 wherein said player card is a player tracking card.
5. The method in accordance with Claim 2 including the step of storing player account information at said financial server and wherein said step of confirming financial transaction data comprises confirming the existence of credits associated with said player's account.

6. The method in accordance with Claim 5 including the step of transmitting information regarding a number of credits to said personal gaming device.

7. A method of playing a wagering game in a casino environment comprising the steps of:

checking out to a player a hand-held gaming device for use for a limited period of time within said casino environment;

activating said hand-held gaming device;

displaying information to said player with a display of said hand-held gaming device, said information regarding one or more activities said player may engage in;

establishing a wireless communication link with a game device interface;

accepting player information at said hand-held gaming device;

transmitting said player information to a financial server via said communication link with said game device interface;

utilizing said player information to verify credit belonging to said player for use in placing a wager to play a game;

transmitting credit information to said hand-held gaming device;

accepting wager instructions from said player at said hand-held gaming device in order to initiate a wagering game;

transmitting wager instructions from said hand-held gaming device to said financial server via said communication link with said game device interface;

transmitting game code from a game server to said hand-held gaming device via said communication link with said gaming device interface;

storing said game code at a memory of said hand-held device;

executing said game code with a processor of said hand-held device;

displaying game information to said player with said display of said hand-held gaming device; and

determining the outcome of said game.

8. The method in accordance with Claim 7 wherein said step of accepting player information comprises reading information from a credit card with a card reader of said hand-held gaming device.

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9. The method in accordance with Claim 8 wherein said step of utilizing said player information to verify credit comprises utilizing said information from said credit card to establish the existence of credit provided by another entity which may be utilized by said player.

10. The method in accordance with Claim 7 wherein said step of accepting player information comprises reading information from a player tracking card with a card reader of said hand-held gaming device.

11. The method in accordance with Claim 10 wherein said step of utilizing said player information to verify credit comprises utilizing player identification information obtained from said player tracking card to access credit information stored in a player account.

12. The method in accordance with Claim 7 wherein said step of transmitting credit information comprises transmitting information regarding a number of credits.

13. The method in accordance with Claim 7 including the step of debiting a number of credits associated with said financial account by a number of credit wagered by said player in accordance with said wager instructions.